

Compliance Reviews

A UBB Compliance Services expert will go on-site to conduct an in-depth review to ensure regulations are properly disclosed in the way they apply to your institution's operations. These reviews would consist of, but are not limited to the following:

- BSA/AML
- Loan File Review: Regulation Z, RESPA (X), Flood, SAFE Act, HMDA, SCRA/MLA, FCRA and more
- Deposit Operations Review: Regulations D, E, CC, and DD

Specialty Compliance Reviews

- HMDA Scrubs, Fair Lending Reviews, CRA Reviews and Targeted Reviews

Risk Assessments

Let our compliance professionals help guide you through the process of identifying and quantifying your institution's various compliance risks.

Compliance Education

Keep your staff and Board in the know and current with the annual compliance training requirements for regulations such as BSA/AML and Identity Theft. Our education programs will provide your employees with a thorough understanding of the regulations, and provide your Board with the information they need to carry out its fiduciary duties

Compliance Hotline

Answers to your day-to-day compliance questions are just an email or phone call away. Clients will be connected with a compliance expert who will field your compliance questions and provide the answers you need. This results in less research for your employees, saving you both time and money.

Policy Review & Development

Make sure your institution's policies are up-to-date and compliant with the most current regulations.

We can assist you with:

- Reviewing your current policies, or:
- Developing new policies as needed

Advertisement, Website & Disclosure Review

This review is ideal if your bank plans to:

- Create a new product
- Design an advertisement
- Reconfigure a current disclosure
- Edit or redesign your website

These items will be reviewed to ensure they meet regulatory compliance requirements.

Remote Consultant

Enhance the depth and expertise of your bank's compliance department by adding a Remote Consultant. Remote Consultant will provide your bank unlimited access to all of the following: **Compliance Hotline, Policy Review & Development, and Advertisement, Website & Disclosure Review.**

**For more information contact : 800.314.7782 or
Jeff.Thompson@ubb.com**