

The **ONE** trusted source for all your retirement needs.



# ESOP, KSOP, 401(k).

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- **One** contact for your ESOP and daily valued 401(k) plan
- **One** recordkeeping software
- **One** participant statement
- **One** consultant who knows all the components of your retirement program



**SWERDLIN**  
& COMPANY



## ESOP, KSOP, 401(k).

The **ONE** trusted source for all your retirement needs.

Because ESOPs are such specialty retirement plans, why not hire a firm that specializes in ESOPs and is one of the leaders in the industry? Swerdlin & Company offers you the finest and most reliable third party assistance available for the administration and recordkeeping of your Employee Stock Ownership Plan and/or 401(k) Plan.

For companies who would like to explore the feasibility of an ESOP, Swerdlin can help put together a team of specialists to perform an initial study.

With clients in 38 states, Swerdlin & Company assists many companies with the design, implementation, administration, and recordkeeping of ESOPs. We are a resource to the industry - the ESOP experts.

Swerdlin is heavily involved in local, regional and national ESOP organizations. We continue to lobby in Washington to ensure the ongoing security of Employee Stock Ownership Plans.

### ESOP Services

Our ESOP services group is well recognized among industry leaders. With over 85 years of combined experience, we provide comprehensive services for ESOPs including education, communication, plan design, consulting and the ongoing administration. Full service recordkeeping and administration includes full integration with our in-house Call Center and internet services, including our Spanish website.

Swerdlin works with your team of service providers including valuation firms, trustees, attorneys, investment advisors, and accountants. With our team approach, everyone is on the "same page." Nothing falls through the cracks - no duplication of services that results in higher fees to the clients.

Partner with Swerdlin & Company and let us shape your ESOP world!

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### Employers

- Plan Design
- Implementation
- Periodic Allocation Reporting
- Internet Access
- Reporting & Disclosure
- Compliance Testing
- Anti-Abuse Testing for Subchapter S ESOPs
- Ongoing Consultation
- Repurchase Liability Studies

### Participants

- Individual Participant Statements
- Education
- Communication
- Internet Access, including Spanish Website
- In-house Call Center, including Spanish language representatives

**ESOP services are available in a daily or traditional setting.**

The benefits world is  
large and complicated



**Where do you go for the answers?**

**Are your employees and your company receiving the maximum benefits available under the continuously changing laws?**

**Are you receiving current and adequate communication from someone you trust?**

**Are your benefit plans consistent with your company's mission and philosophy?**

Knowing the complexities and understanding the choices and options available in the benefits world shouldn't be a focus of your job; it's a focus of ours. You're never limited to off-the-shelf solutions. We offer the most comprehensive range of benefit plans available. We design a plan that truly meets the needs and goals of your company and your employees. Swerdlin & Company is the only resource you need to address all of your benefit plan issues. The purpose of our job is to make yours easier!

Swerdlin & Company, an Atlanta-based actuarial and employee benefits consulting firm, was founded almost 35 years ago. We are the largest local firm providing actuarial, consulting and administrative services for all types of retirement, health and welfare, and cafeteria plans. We are a full-service benefits firm and our number one priority is to provide you the personalized service you deserve!

Swerdlin & Company provides third party administration, recordkeeping and consulting services for all types of retirement plans. Our retirement plan services for ESOPs and 401(k) plans include the following:

- Plan Administration & Compliance
- Employee/Participant Processing & Recordkeeping
- Allocations & Recordkeeping for Plan Accounts
- Trust Accounting
- Plan Distributions
- Diversification & Minimum Distribution Requirements
- Reporting and Disclosure
- Employee Communication Assistance.



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Actuaries & Employee  
Benefits Consultants



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